

4900-220/200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter F. King and Bruce V. Schwartz ~~08/996/379~~
Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server
Serial No.: N/A 091533,556 NOV 08 1999
Filing Date: 2/24/1999
Examiner: N/A
Group Art Unit: N/A
Docket No.: UWP002/1007Ct



November 2, 1999

Assistant Commissioner for Patents

Box Petition

Washington, DC 20231

Petition for Correction of Transmittal

Dear Sir:

The undersigned requests the earlier filed transmittal entitled "CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL" be replaced by the enclosed transmittal "UTILITY PATENT APPLICATION TRANSMITTAL". The undersigned further requests a filing receipt be issued.

A copy of the earlier filed application package is enclosed herein to support the filing date.

The PTO is authorized to charge our deposit account No. 50-0516 any charges that may be due. Please telephone the undersigned at (408)777-9887, if there are any questions.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231", on Nov 2, 1999.

Name: Kramer-Adams, Elaine

Signature: Elaine Adams

Respectfully submitted,

Joe Zheng
Reg. No.: 39,450

UP. Patent Office
800 Chesapeake Drive
Redwood City, CA 94063

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08/996,379 09/533,556 9200/2776
Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

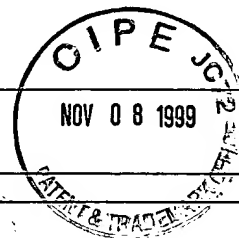
Approved for use through 09/30/00. OMB 0651-0032
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1007Ct Total Pages: 21

First Named Inventor or Application Identifier King et al.

Express Mail Label No. EJ 327832297US



ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. x Specification (Total Pages 20)
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 1)
4. Oath or Declaration (Total Pages)
a. Newly Executed (Original or Copy)
b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
i. DELETIONS OF INVENTOR(S) Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
5. X Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or
declaration is supplied under Box 4b, is considered as being part of the
disclosure of the accompanying application and is hereby incorporated by
reference therein.
6. X Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))

12/01/97

- 1 -

PTO/SB/05 (12/97)

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9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)
☐ b. Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ a. Small Entity Statement(s)
☐ b. Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Check for \$847.00.
Change of Correspondence Address



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17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No: 08/996,379

18. **Correspondence Address**

☐ Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME Alan D. Minsk
Phone.com, Inc.

ADDRESS 800 Chesapeake Drive

CITY Redwood City STATE CA ZIP CODE 94063

Country U.S.A. TELEPHONE (650) 817-1610 FAX (650) 817-1499

12/01/97

- 2 -

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
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9200/2776



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s)
Title

Peter F. KING and Bruce V. SCHWARTZ

PROVIDING A DIRECTORY OF FREQUENTLY USED
HYPERLINKS ON A REMOTE SERVER

Application No.:

~~08/996,379~~ 09/533,556

Filing Date:

February 24, 1999

Examiner:

To be assigned

Group Art Unit:

To be assigned

Docket No.:

UP-1007Ct

CERTIFICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with postage prepaid addressed to "Commissioner of Patents, Washington, DC 20231 on 12/8/99

Signature:

Claine L. Adams

Name:

CLAIINE KRAMER-ADAMS

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

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FEB 25 2000

OFFICE OF PETITIONS
DEPUTY A/C PATENTS

Dear Sir:

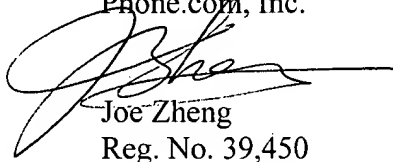
The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a continuation of prior application U.S. Patent Application No. 08/996,379, which relied on a prior provisional application No. 60/052,394 dated July 11, 1997 for an earlier filing date (now U.S. Patent No.: 5,895,41, issued April 20, 1999.). This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were

either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d). Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

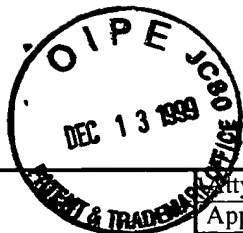
This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0388 (Order No. UP-1007C1).

Respectfully submitted,
Phone.com, Inc.



Joe Zheng
Reg. No. 39,450

800 Chesapeake Drive
Redwood City, CA 94063



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Priority Docket No.	UP-1007Ct
	Application No.:	08/996,379
	Applicant	Peter F. King, Bruce V. Schwartz
	Filing Date	February 24, 1999
	Group	To be advised

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A.	5,761,436	06/1998	Nielsen	395	200.75	
	B.	5,764,916	06/1998	Busey et al.	395	200.57	
	C.	5,796,952	08/1998	Davis et al.	364	DIG. 1	
	D.	5,812,769	09/1998	Graber et al.	395	200.12	
	E.	5,813,007	09/1998	Nielsen	707	10	
	F.	5,819,039	10/1998	Morgaine	395	200.47	

Foreign Patent or Published Foreign Patent Application

Examiner Initial	Document No.	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
						Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Place (e.g. Journal) of Publication, Date
	G.	"Managing Your Bookmarks" http://omega.cc.umb.edu/hartford/facweb/engine3Bb.htm , Nov. 1996.
	H.	"Managing Your Bookmarks Effectively" http://www.eiu.edu/mediasrv/netscape2/manage.htm#com . Nov. 1998.
	I.	"Radio Web: The RadioMail Wireless Web Delivery Service" http://www.radiomail.net/product/services/radioweb/htm , Jul. 1996.
	J.	"Windows 95/98 URL and Bookmark Managers" http://www.winfiles.com/apps/98/url.html , Nov. 1998
	K.	"ASF-Url/Bookmark Mangers" http://host2.ftptoday.com/mylvoice/asf-url_bookmark.html , Nov. 1998
	L.	"Bookmark Magic: Internet bookmark utility" http://www.cyber-matrix.com/bkmkmag.htm . Jun. 1998.
	M.	Tauscher et al. "Revistation Patterns in World Wide We Navigation" CHI97, pp. 99-106, Sep. 1997.
	N.	Barrett et al. "How to Personalize the Web" CHI97, pp. 75-82, Sep. 1997.
	O.	Ginsburg et al. "A Viewer for PostScript Documents" UIST 96, pp. 31-32, Jul. 1996.
	P.	Weiss et al. "HyPursuit: A Hierarchical Network Search Engine that Exploits Content-Link Hypertext Clustering" Hypertext, 96, pp. 180-193, May 1996.
	Q.	Satoh et al. "A Collaberative Supporting Method between Document Processing and Hypertext Construction" IEEE Database, pp. 533-536, Jul. 1993.
	R.	Samad et al. "A Browser for Large Knowledge Bases Based on a Hybrid Distrubuted/Local Connectionist Architecture" IEEE Database, pp. 89-99, Jul. 1991.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DECLARATION OF JOINT INVENTORS

Attorney Docket: 1007 CT

As a below named inventor, I hereby declare that:

My post office address, residence address and country of citizenship as stated below next to my name are true and correct;

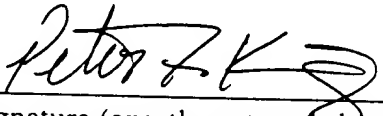
I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "Providing a Directory of Frequently Used Hyperlinks on a Remote Server," described in the patent application filed herewith, which claims the benefit of priority under 35 U.S.C. § 119(e) of U.S. provisional patent application Serial No. 60/052,394 filed July 11, 1997;

I have reviewed and understand the contents of the above identified application, including the description, claims and drawings, and including any amendments specifically referred to herein; and

I acknowledge the duty under Title 37, Code of Federal Regulations § 1.56 to disclose all information, including information which occurred between the filing of the prior U.S. patent application and the national filing date of this continuing application, known to be material to the examination of the patent application mentioned above.

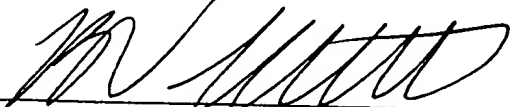
I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor: Peter F. King
Post Office: 390 Bridge Parkway
Redwood Shores, California 94065
Residence: Half Moon Bay, California
Citizenship: U.S.A.


Signature (exactly as typed above)

Dec. 19, 1997
Date

Inventor: Bruce V. Schwartz
Post Office: 390 Bridge Parkway
Redwood Shores, California 94065
Residence: San Mateo, California
Citizenship: U.S.A.


Signature (exactly as typed above)

12/19/97
Date

Docket: UWP002

RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
<p>OTPE FEB 24 1999 JCS</p> <p>to the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.</p>		
1. Name of sending party: Bruce V. Schwartz Additional names attached <input type="checkbox"/> yes <input checked="" type="checkbox"/> no		2. Name and address of receiving party(ies): Unwired Planet, Inc. 390 Bridge Parkway Redwood Shores, California 94065
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 12/19/97		Additional name(s) & address(es) <input type="checkbox"/> yes <input checked="" type="checkbox"/> No
4. Application Number or Patent Number If this document is being filed together with a new application, the execution date of the application is: 12-19-97 Application Number _____ Patent Number _____ <input type="checkbox"/> If checked, additional numbers are attached		
5. Name and address of party to whom correspondence concerning document should be mailed: David N. Lathrop, Esquire THOMAS A. GALLAGHER, A Professional Corporation 601 California Street, Suite 1111 San Francisco, CA 94108-2805		6. Total Number of applications and patents involved: 1 7. Total fee (37 CFR 3.41):\$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Charge to deposit account (copy attached)
8. Deposit account number: 07-0137		
DO NOT USE THIS SPACE		
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> David N. Lathrop _____ 12/22/97 Name Signature Date Total # pages including cover sheet: 3		
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignment Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503		

ASSIGNMENT

Bruce V. Schwartz, a resident of the City of San Mateo, County of San Mateo, State of California, herein referred to as ASSIGNOR, is a joint inventor of a new invention entitled "Providing a Directory of Frequently Used Hyperlinks on a Remote Server" by Peter F. King and Bruce V. Schwartz, for which he has executed a patent application on 12/19/97.

UNWIRED PLANET, INC., a corporation of the State of Delaware, having a place of business at 390 Bridge Parkway, Redwood Shores, State of California, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to Patent thereon, when granted in the United States and all foreign countries.

In consideration of the employment of ASSIGNOR by ASSIGNEE and for other good and valuable consideration:

1. ASSIGNOR sells, assigns, transfers and conveys unto ASSIGNEE, the entire right, title and interest:
 - (a) in and to said invention, improvements, application, and each and every additional application filed anywhere in the world, whether or not the country of filing is a member of the International Union for the Protection of Industrial Property (The Paris Convention), which additional application is a division, substitution, continuation or continuation-in-part of or is based on said application or which additional application relates to said invention and improvements;
 - (b) all priority rights associated with the filing of each and every such application, for each country of The Paris Convention, and
 - (c) in and to each and every Patent on said invention and improvements that may be granted by any country, including each and every Patent that may be granted on any of the applications referred to in sub-section (a), and in and to each and every reissue, re-examination or extension of each and every such Patent.
2. ASSIGNOR warrants, covenants and represents the fact that he has not heretofore granted any license, right or privilege in respect to said invention or said application or in any other way encumbered the same, and that he has the full right to sell, assign, transfer and convey, free of all licenses and encumbrances, the entire interest hereby assigned.
3. ASSIGNOR covenants that at the request and expense of ASSIGNEE he will promptly execute all papers necessary or desirable to perfect ownership of said invention, applications and said Patent to ASSIGNEE, and execute all oaths, declarations and other papers necessary or desirable for prosecuting said applications and Patent, for use in interference proceedings involving said invention, applications and Patent, for use in opposition proceedings involving said invention, applications and Patent, for refiling said

applications, for filing of divisional, substitution, continuation or continuation-in-part applications deemed necessary or desirable by ASSIGNEE. for reissuance or re-examination of said Patent, or for the filing in foreign countries of applications for Patent counterpart to or based on said application or to an application which is a division, substitution, continuation or continuation-in-part of said application or which application relates to said invention and improvements. ASSIGNOR further covenants and agrees that at the expense and request of ASSIGNEE, he will promptly assist ASSIGNEE in interference and opposition proceedings involving said invention, applications and Patent, and in litigation involving said invention, applications and Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention, applications and Patent.

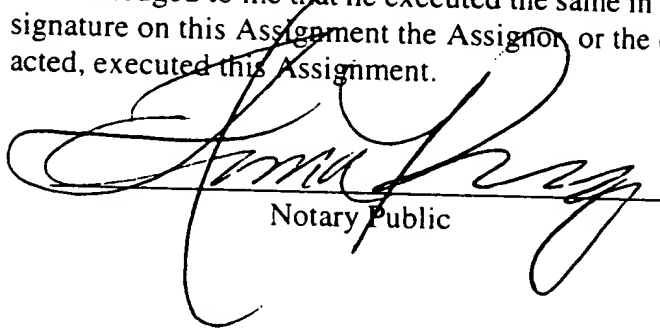
4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, his heirs, legal representatives and assigns.


ASSIGNOR

12/19/97
Date

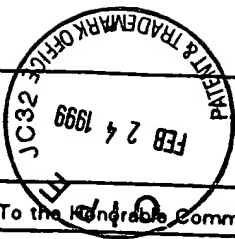
STATE OF CALIFORNIA)
COUNTY OF SAN MATEO)

On this 19th day of December 1997, before me, the undersigned notary public, personally appeared the above-named Assignor, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this Assignment and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on this Assignment the Assignor or the entity upon behalf of which the Assignor acted, executed this Assignment.


Notary Public

SEAL





RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party:

Peter F. King

Additional names attached

☐ yes ☒ no

2. Name and address of receiving party(ies):

Unwired Planet, Inc.
390 Bridge Parkway
Redwood Shores, California 94065

3. Nature of Conveyance:

☒ Assignment

☐ Change of Name

☐ Other:

Execution Date: 12/19/97

Additional name(s) & address(es) ☐ yes ☒ No

4. Application Number or Patent Number

If this document is being filed together with a new application, the execution date of the application is: 12-19-97

Application Number

Patent Number

☐ If checked, additional numbers are attached

5. Name and address of party to whom correspondence concerning document should be mailed:

David N. Lathrop, Esquire
THOMAS A. GALLAGHER,
A Professional Corporation
601 California Street, Suite 1111
San Francisco, CA 94108-2805

6. Total Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Charge to deposit account (copy attached)

8. Deposit account number: 07-0137

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David N. Lathrop

Name

Signature

12/22/97

Date

Total # pages including cover sheet: 3

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Box Assignment
Washington, D.C. 20231

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ASSIGNMENT

Peter F. King, a resident of the City of Half Moon Bay, County of San Mateo, State of California, herein referred to as ASSIGNOR, is a joint inventor of a new invention entitled "Providing a Directory of Frequently Used Hyperlinks on a Remote Server" by Peter F. King and Bruce V. Schwartz, for which he has executed a patent application on 12-19-97.

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In consideration of the employment of ASSIGNOR by ASSIGNEE and for other good and valuable consideration:

1. ASSIGNOR sells, assigns, transfers and conveys unto ASSIGNEE, the entire right, title and interest:

- (a) in and to said invention, improvements, application, and each and every additional application filed anywhere in the world, whether or not the country of filing is a member of the International Union for the Protection of Industrial Property (The Paris Convention), which additional application is a division, substitution, continuation or continuation-in-part of or is based on said application or which additional application relates to said invention and improvements;
- (b) all priority rights associated with the filing of each and every such application, for each country of The Paris Convention, and
- (c) in and to each and every Patent on said invention and improvements that may be granted by any country, including each and every Patent that may be granted on any of the applications referred to in sub-section (a), and in and to each and every reissue, re-examination or extension of each and every such Patent.

2. ASSIGNOR warrants, covenants and represents the fact that he has not heretofore granted any license, right or privilege in respect to said invention or said application or in any other way encumbered the same, and that he has the full right to sell, assign, transfer and convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. ASSIGNOR covenants that at the request and expense of ASSIGNEE he will promptly execute all papers necessary or desirable to perfect ownership of said invention, applications and said Patent to ASSIGNEE, and execute all oaths, declarations and other papers necessary or desirable for prosecuting said applications and Patent, for use in interference proceedings involving said invention, applications and Patent, for use in opposition proceedings involving said invention, applications and Patent, for refiling said

applications, for filing of divisional, substitution, continuation or continuation-in-part applications deemed necessary or desirable by ASSIGNEE, for reissuance or re-examination of said Patent, or for the filing in foreign countries of applications for Patent counterpart to or based on said application or to an application which is a division, substitution, continuation or continuation-in-part of said application or which application relates to said invention and improvements. ASSIGNOR further covenants and agrees that at the expense and request of ASSIGNEE, he will promptly assist ASSIGNEE in interference and opposition proceedings involving said invention, applications and Patent, and in litigation involving said invention, applications and Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention, applications and Patent.

4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, his heirs, legal representatives and assigns.

Peter F. K

ASSIGNOR

Dec. 19, 1997

Date

STATE OF CALIFORNIA)
COUNTY OF SAN MATEO)

On this 19th day of December 1997, before me, the undersigned notary public, personally appeared the above-named Assignor, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this Assignment and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on this Assignment the Assignor, or the entity upon behalf of which the Assignor acted, executed this Assignment.

[Signature]
Notary Public

SEAL

